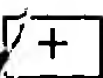


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09-22-00

A

Box 594
PTO/SB/05 (2/98)

UTILITY

PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

210121475C7

First Inventor or Application Identifier

Steven G. Reed

Title

COMPOSITIONS AND METHODS FOR THE THERAPY
AND DIAGNOSIS OF LUNG CANCER

Express Mail Label No.

EL615232339US

Only for nonprovisional applications under 37 CFR § 1.53(b)

APPLICATION ELEMENTS

See MPEP, chapter 600 concerning utility patent application contents.

ADDRESS TO:

Box Patent Application
Assistant Commissioner for Patent
Washington, D.C. 20231

1. ☐ General Authorization Form & Fee Transmittal
(Submit an original and a duplicate for fee processing)

2. ☒ Specification [Total Pages] **137**
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention

- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

☐ Drawing(s) (35 USC 113) [Total Sheets] **3**

4. Oath or Declaration [Total Pages] **1**

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b)

5. Incorporation By Reference (useable if box 4b is
checked) The entire disclosure of the prior application,
from which a copy of the oath or declaration is supplied
under Box 4b, is considered to be part of the disclosure of
the accompanying application and is hereby incorporated
by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. Nucleotide and Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☒ Computer-Readable Copy
- b. ☒ Paper Copy (identical to computer copy)
- c. ☒ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))

9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney

10. ☐ English Translation Document (if applicable)

11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard

14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☒ Other: Certificate of Express Mail

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☒ Continuation-In-Part (CIP) of prior Application No.: **09/640,878**

Prior application information: Examiner not assigned

Group / Art Unit not assigned

☐ Claims the benefit of Provisional Application No. _____

CORRESPONDENCE ADDRESS

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Respectfully submitted,

TYPED or PRINTED NAME Jane E. R. Potter

SIGNATURE Jane E. R. Potter

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REGISTRATION NO. 33,332

Date September 20, 2000

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Steven G. Reed, Bellevue, WA; Michael J. Lodes, Seattle, WA;
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Filed : September 20, 2000

For : COMPOSITIONS AND METHODS FOR THE THERAPY AND
DIAGNOSIS OF LUNG CANCER

Docket No. : 210121.475C7

Date : September 20, 2000

Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Assistant Commissioner for Patents:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL615232339US, on September 20, 2000, addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC


Steve Plante/Jeanette West/Susan Johnson

JEP:sds

Enclosures:

Postcard
Form PTO/SB/05
Specification, Claims, Abstract (137 pages)
Sequence Listing (217 pages)
Declaration for Sequence Listing
Diskette for Sequence Listing
Information Disclosure Statement
Form PTO-1449
Cited References (11)

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